

B N Rathi Securities Limited

Investor Complaints Data for the month of December 2021 month ending

S N	Receive d from	Carried forwar d from previous month	Receive d during the month	Total Pendin g	Res olve d*	Pending at the end of the month**		Average Resoluti on time^ (in days)
						Pending for less than 3 month s	Pendin g for more than 3 month s	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	NA	0		0
2	SEBI (SCORE S)	0	0	0	NA	0		0
3	Stock Exchang es	0	0	0	NA	0		0
4	Other Sources (if any)	0	0	0	NA	0		0
5	Grand Total	0	0	0	NA	0		0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2021	0	0	NA	0
2	May-2021	0	0	NA	0
3	June-2021	0	0	NA	0
4	July-2021	0	0	NA	0
5	Aug-2021	0	0	NA	0
6	Sept-2021	0	0	NA	0
7	Oct-2021	0	0	NA	0
8	Nov-2021	0	0	NA	0
9	Dec-2021	0	0	NA	0
	Grand Total	0	0	0	0

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	7	7	0
2	2018-19	0	1	1	0
3	2019-20	0	3	3	0
4	2020-21	0	3	3	0
5	2021-22	0	0	-	
	Grand Total	0	14	14	0