

General information about company	
Scrip code	523019
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE710D01010
Name of the entity	B.N. RATHI SECURITIES LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory								
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Laxminiwas Sharma	ACCPS0314F	00010899	Non-Executive - Independent Director	Chairperson		17-02-1947
2	Mr	Harishchandra Prasad Kanuri	AEUPK2471F	00012564	Non-Executive - Independent Director	Not Applicable		15-09-1952
3	Mrs	Shanti Sree bolleni	ACEPB2943H	07092258	Non-Executive - Independent Director	Not Applicable		17-05-1962
4	Mr	Hari Narayan Rathi	ABHPR9416P	00010968	Executive Director	Not Applicable	MD	22-11-1953
5	Mr	chetan Rathi	ABAPR7440N	00536441	Executive Director	Not Applicable		08-03-1976

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active

I. Composition of Board of Directors												
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Yes	11-08-2021	29-01-1990	01-04-2019		117	1	1	1	1		
2	NA		06-06-1994	01-04-2019		117	4	3	6	0		
3	NA		12-02-2015	01-04-2020		105	3	3	4	1		
4	NA		16-11-1991	01-10-2021			1	0	1	0		
5	NA		01-04-2010	01-04-2021			1	0	1	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00010899	Laxminiwas Sharma	Non-Executive - Independent Director	Chairperson	29-05-2013		
2	00012564	Harishchandra Prasad Kanuri	Non-Executive - Independent Director	Member	29-05-2013		
3	07092258	Shanti Sree bolleni	Non-Executive - Independent Director	Member	12-02-2015		
4	00010968	Hari Narayan Rathi	Executive Director	Member	11-02-2017		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00012564	Harishchandra Prasad Kanuri	Non-Executive - Independent Director	Chairperson	12-02-2015		
2	00010899	Laxminiwas Sharma	Non-Executive - Independent Director	Member	12-02-2015		
3	07092258	Shanti Sree bolleni	Non-Executive - Independent Director	Member	12-02-2015		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07092258	Shanti Sree bolleni	Non-Executive - Independent Director	Chairperson	12-02-2015		
2	00012564	Harishchandra Prasad Kanuri	Non-Executive - Independent Director	Member	12-02-2015		
3	00010899	Laxminiwas Sharma	Non-Executive - Independent Director	Member	12-02-2015		
4	00536441	chetan Rathi	Executive Director	Member	12-02-2015		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1

Annexure 1

III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	27-09-2023				Yes	5	5	3
2		03-11-2023	36		Yes	5	5	3
3		10-11-2023	6		Yes	5	5	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	27-09-2023				Yes	4	4	3	0
2	Audit Committee	10-11-2023	43			Yes	4	4	3	0
3	Stakeholders Relationship Committee	10-11-2023				Yes	4	4	3	0

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	G Sabitha Reddy
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence	
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No

Signatory Details	
Name of signatory	G SABITHA REDDY
Designation of person	Company Secretary and Compliance Officer
Place	HYDERABAD
Date	12-01-2024

