

General information about company

Scrip code	523019
NSE Symbol	
MSEI Symbol	
ISIN	INE710D01010
Name of the company	B. N. RATHI SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.78
As on the end of previous 1st quarter	100	0.61
As on the end of previous 2nd quarter	100	0.59
As on the end of previous 3rd quarter	100	0.45
As on the end of previous 4th quarter	100	0.8

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	4880298			4880298	58.1	4880298		4880298	58.1
(B)	Public	5026	3519702			3519702	41.9	3519702		3519702	41.9
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	5030	8400000			8400000	100	8400000		8400000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				58.1					4880298			
(B)	Public				41.9					3363092	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					8243390	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	4880298			4880298	58.1	4880298		4880298	58.1
Sub-Total (A)(1)		4	4880298			4880298	58.1	4880298		4880298	58.1
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	4880298			4880298	58.1	4880298		4880298	58.1
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	4	157701			157701	1.88	157701		157701	1.88

(f)	Investor Education and Protection Fund (IEPF)	1	179352			179352	2.14	179352		179352	2.14
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	4857	1983643			1983643	23.61	1983643		1983643	23.61
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	11	932825			932825	11.11	932825		932825	11.11
(i)	Non Resident Indians (NRIs)	44	75518			75518	0.9	75518		75518	0.9
(l)	Bodies Corporate	16	74746			74746	0.89	74746		74746	0.89
(m)	Any Other (specify)	93	115917			115917	1.38	115917		115917	1.38
Sub-Total (B)(4)		5026	3519702			3519702	41.9	3519702		3519702	41.9
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)		5026	3519702			3519702	41.9	3519702		3519702	41.9
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		5030	8400000			8400000	100	8400000		8400000	100
Total (A+B+C)		5030	8400000			8400000	100	8400000		8400000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian												
(a)				58.1					4880298				
Sub-Total (A) (1)				58.1					4880298				
(2)	Foreign												
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				58.1					4880298				
B	Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)												
(2)	Institutions (Foreign)												
(3)	Central Government / State Government(s)												
(4)	Non-institutions												

(b)				1.88					157701	0	0	0
(f)				2.14					179352	0	0	0
(g)				23.61					1827233	0	0	0
(h)				11.11					932825	0	0	0
(i)				0.9					75518	0	0	0
(l)				0.89					74546	0	0	0
(m)				1.38					115917	0	0	0
Sub-Total (B) (4)				41.9					3363092	0	0	0
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)+(B)(4)				41.9					3363092	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					8243390			
Total (A+B+C)				100					8243390			

Individuals/Hindu undivided Family

Searial No.	1	2	3	4	
Name of the Shareholders (I)	HARI NARAYAN RATHI	CHETAN RATHI	CHANDA DEVI RATHI	NISHA RATHI	Click here to go back
PAN (II)	ABHPR9416P	ABAPR7440N	ABHPR9438H	AFKPR7510P	Total
No. of fully paid up equity shares held (IV)	2924955	914890	670624	369829	4880298
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2924955	914890	670624	369829	4880298
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	34.82	10.89	7.98	4.4	58.1
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	2924955	914890	670624	369829	4880298
Class eg:y					
Total	2924955	914890	670624	369829	4880298
Total as a % of Total Voting rights	34.82	10.89	7.98	4.4	58.1

No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	34.82	10.89	7.98	4.4	58.1
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in	2924955	914890	670624	369829	4880298

dematerialized form (XIV)					
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	

Investor Education and Protection Fund (IEPF)

Searial No.	1	
Name of the Shareholders (I)	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY M	Click here to go back
PAN (II)	ZZZZZ9999Z	Total
No. of fully paid up equity shares held (IV)	179352	179352
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	179352	179352
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.14	2.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	179352	179352
Class eg:y		
Total	179352	179352
Total as a % of Total Voting rights	2.14	2.14

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.14	2.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	179352	179352
Reason for not providing PAN		
Reason for not providing PAN	Textual Information(1)	

Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Text Block

Textual Information(1)

PAN of IEPF not updated in RTA records

Directors and their relatives (excluding independent directors and nominee directors)

Searial No.	1	
Name of the Shareholders (I)	AMIT RATHI	Click here to go back
PAN (II)	ABDPR7512G	Total
No. of fully paid up equity shares held (IV)	128083	128083
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	128083	128083
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	1.52
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	128083	128083
Class eg:y		
Total	128083	128083
Total as a % of Total Voting rights	1.52	1.52

No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	1.52
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	128083	128083
Reason for not providing PAN		
Reason for not providing PAN		

Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Searial No.	1	2	3	4	
Name of the Shareholders (I)	MAHENDRA GIRDHARILAL	AMIT RATHI	MANJU GAGGAR	AJESH DALAL	Click here to go back
PAN (II)	AAAPW1327L	ABDPR7512G	AGHPG4408M	AHQPD6433R	Total
No. of fully paid up equity shares held (IV)	348314	128083	93593	199591	769581
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	348314	128083	93593	199591	769581
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15	1.52	1.11	2.38	9.16
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	348314	128083	93593	199591	769581
Class eg:y					
Total	348314	128083	93593	199591	769581
Total as a % of Total Voting rights	4.15	1.52	1.11	2.38	9.16

No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.15	1.52	1.11	2.38	9.16
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	348314	128083	93593	199591	769581
Reason for not providing PAN					
Reason for not providing PAN					

Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0

Any Other (specify)

Searial No.	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	3	90	93
No. of fully paid up equity shares held (IV)	362	115555	115917
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	362	115555	115917
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.38	1.38
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	362	115555	115917

Class eg:y			
Total	362	115555	115917
Total as a % of Total Voting rights	0	1.38	1.38
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.38	1.38
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in	362	115555	115917

dematerialized form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

