

General information about company

Scrip code	523019
NSE Symbol	
MSEI Symbol	
ISIN	INE710D01010
Name of the company	B.N. RATHI SECURITIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	0.89
As on the end of previous 1st quarter	100	0.61
As on the end of previous 2nd quarter	100	0.78
As on the end of previous 3rd quarter	100	0.45
As on the end of previous 4th quarter	100	0.59

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	4880298			4880298	58.1	4880298		4880298	58.1
(B)	Public	5131	3519702			3519702	41.9	3519702		3519702	41.9
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	5135	8400000			8400000	100	8400000		8400000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				58.1					4880298			
(B)	Public				41.9					3363392	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					8243690	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	4880298			4880298	58.1	4880298		4880298	58.1
Sub-Total (A)(1)		4	4880298			4880298	58.1	4880298		4880298	58.1
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	4880298			4880298	58.1	4880298		4880298	58.1
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(2)	Institutions (Foreign)										
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	4	147701			147701	1.76	147701		147701	1.76
(f)	Investor Education and Protection Fund (IEPF)	1	179352			179352	2.14	179352		179352	2.14
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	4960	1914362			1914362	22.79	1914362		1914362	22.79
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	12	978653			978653	11.65	978653		978653	11.65
(i)	Non Resident Indians (NRIs)	48	84640			84640	1.01	84640		84640	1.01
(l)	Bodies Corporate	18	82860			82860	0.99	82860		82860	0.99
(m)	Any Other (specify)	88	132134			132134	1.57	132134		132134	1.57
Sub-Total (B)(4)		5131	3519702			3519702	41.9	3519702		3519702	41.9
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		5131	3519702			3519702	41.9	3519702		3519702	41.9
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		5135	8400000			8400000	100	8400000		8400000	100
Total (A+B+C)		5135	8400000			8400000	100	8400000		8400000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				58.1					4880298			
Sub-Total (A) (1)				58.1					4880298			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				58.1					4880298			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(2)	Institutions (Foreign)											
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				1.76					147701	0	0	0
(f)				2.14					179352	0	0	0
(g)				22.79					1758252	0	0	0
(h)				11.65					978653	0	0	0
(i)				1.01					84640	0	0	0
(l)				0.99					82660	0	0	0
(m)				1.57					132134	0	0	0
Sub-Total (B) (4)				41.9					3363392	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				41.9					3363392	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					8243690			
Total (A+B+C)				100					8243690			

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	
Name of the Shareholders (I)	CHETAN RATHI	HARI NARAYAN RATHI	CHANDA DEVI RATHI	NISHA RATHI	Click here to go back
PAN (II)	ABAPR7440N	ABHPR9416P	ABHPR9438H	AFKPR7510P	Total
No. of fully paid up equity shares held (IV)	914890	2924955	670624	369829	4880298
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	914890	2924955	670624	369829	4880298
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.89	34.82	7.98	4.4	58.1
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	914890	2924955	670624	369829	4880298
Class eg:y					
Total	914890	2924955	670624	369829	4880298
Total as a % of Total Voting rights	10.89	34.82	7.98	4.4	58.1
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	10.89	34.82	7.98	4.4	58.1
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	914890	2924955	670624	369829	4880298
Reason for not providing PAN					

Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	

Directors and their relatives (excluding independent directors and nominee directors)

Serial No.	1	
Name of the Shareholders (I)	AMIT RATHI	Click here to go back
PAN (II)	ABDPR7512G	Total
No. of fully paid up equity shares held (IV)	118083	118083
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	118083	118083
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.41	1.41
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	118083	118083
Class eg:y		
Total	118083	118083
Total as a % of Total Voting rights	1.41	1.41
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.41	1.41
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	118083	118083
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		

Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	2	3	
Name of the Shareholders (I)	MAHENDRA GIRDHARILAL	MANJU GAGGAR	AJESH DALAL	Click here to go back
PAN (II)	AAAPW1327L	AGHPG4408M	AHQPD6433R	Total
No. of fully paid up equity shares held (IV)	348314	93593	213889	655796
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	348314	93593	213889	655796
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15	1.11	2.55	7.81
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	348314	93593	213889	655796
Class eg:y				
Total	348314	93593	213889	655796
Total as a % of Total Voting rights	4.15	1.11	2.55	7.81
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	4.15	1.11	2.55	7.81
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	348314	93593	213889	655796
Reason for not providing PAN				
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				

Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

Any Other (specify)

Serial No.	1	2	
Category	Clearing Members	HUF	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	1	87	88
No. of fully paid up equity shares held (IV)	440	131694	132134
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	440	131694	132134
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	1.57	1.58
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	440	131694	132134
Class eg:y			
Total	440	131694	132134
Total as a % of Total Voting rights	0.01	1.57	1.58
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	1.57	1.58
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	440	131694	132134

Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

