



B.N. RATHI SECURITIES LIMITED

Corporate Member : NSE, BSE & MCX-SX
Depository Participant of Central Depository Service (I) Ltd.

REGISTERED OFFICE : # 6-3-652, IV Floor, "Kautilya"
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CIN : L65993AP1985PLC005838

Introductory sub-table (I)(a)

Name of the Company: B. N. Rathi Securities Limited			
Scrip Code, Name of the scrip, class of security: 523019, BNRSEC, Equity shares			
Quarter ended: 30.09.2014			
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Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
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Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
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Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
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Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	2520000		100





Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)				Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	As a percentage
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	X) = (VIII)/(IV)*100
(A)	Shareholding of Promoter and							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	4	1154477	1154477	45.81	45.81	0	0.00
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	4	1154477	1154477	45.81	45.81	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)							
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter	4	1154477	1154477	45.81	45.81	0	0.00
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00	0	0.00
(b)	Financial Institutions / Banks	0	0	0	0.00	0.00	0	0.00
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00	0	0.00
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00	0	0.00
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00
	Sub-Total (B)(1)	0	0	0	0.00	0.00	0	0.00





Continuation Sheet.....

B-2	Non-institutions							
(a)	Bodies Corporate	42	207631	206081	8.24	8.24	0	0.00
(b)	Individuals						0	0.00
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3446	721021	357168	28.61	28.61	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	13	430451	383601	17.08	17.08	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)							
(d-i)	NRIs	7	5972	5972	0.24	0.24	0	0.00
(d-ii)	Clearing Members	2	448	448	0.02	0.02	0	0.00
	Sub-Total (B)(2)	3510	1365523	953270	54.19	54.19	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	3510	1365523	953270	54.19	54.19	0	0.00
	TOTAL (A)+(B)	3514	2520000	2107747	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	3514	2520000	2107747	100	100.00	0	0.00





Continuation Sheet.....

B 2	Non-institutions							
(a)	Bodies Corporate	42	207631	206081	8.24	8.24	0	0.00
(b)	Individuals						0	0.00
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3446	721021	357168	28.61	28.61	0	0.00
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	13	430451	383601	17.08	17.08	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)							
(d-i)	NRIs	7	5972	5972	0.24	0.24	0	0.00
(d-ii)	Clearing Members	2	448	448	0.02	0.02	0	0.00
	Sub-Total (B)(2)	3510	1365523	953270	54.19	54.19	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	3510	1365523	953270	54.19	54.19	0	0.00
	TOTAL (A)+(B)	3514	2520000	2107747	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	3514	2520000	2107747	100	100.00	0	0.00





(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Continuation Sheet.....

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A) + (B) + (C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(i)	(ii)	(iii)	(iv)	(v)	(vi)=(v)/(iii)*100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1	Hari Narayan Rathi	785745	31.18	0	0.00	0.00	0	0.00	0	0.00	31.18
2	Chetan Rathi	174267	6.92	0	0.00	0.00	0	0.00	0	0.00	6.92
3	Chanda Devi Rathi	131203	5.21	0	0.00	0.00	0	0.00	0	0.00	5.21
4	Nisha Rathi	63262	2.51	0	0.00	0.00	0	0.00	0	0.00	2.51
TOTAL		1154477	45.81	0	0.00	0.00	0	0.00	0	0.00	45.81

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	VEENA G MUNDRA	100000	3.97	0	0.00	0	0.00	3.97
2	SANDEEP STOCKS PRIVATE LIMITED	100000	3.97	0	0.00	0	0.00	3.97
3	NIRMAN SHARE BROKERS PVT. LTD.	56530	2.24	0	0.00	0	0.00	2.24
4	VALLAB DASS RAMANI	54639	2.17	0	0.00	0	0.00	2.17
5	PREETI BIYANI	50000	1.98	0	0.00	0	0.00	1.98
6	NEETA RATHI	46850	1.86	0	0.00	0	0.00	1.86
7	BASANTI DEVI RATHI	30000	1.19	0	0.00	0	0.00	1.19
TOTAL		438019	17.38	0	0.00	0	0.00	17.38





Form 1 Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company. **Continuation Sheet.....**

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	p	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL	0	0.00	0	0	0	0	0
TOTAL		0	0.00	0	0	0	0	0

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0.00
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL		0	0	0.00

