

## **B.N. RATHI SECURITIES LIMITED**

Corporate Member : NSE, BSE & MCX-SX
Depository Participant of Central Depository Service (I) Ltd.

REGISTERED OFFICE: # 6-3-652, IV Floor, "Kautilya" Amrutha Estatos, Somajiguda, Hyderabad - 500 082 Tel.: 040 - 30527777, 30727777, Fax: 040-30526283 E-mail: bnrsl@bnrsecurities.com, www.bnrsecurities.com CIN: L65993AP1985PL0005838

#### Introductory sub-table (I)(a)

Name of the Company: B. N. F	athi Securities Limited		
	class of security: 523019, BNRSE	EC, Equity shares	
Quarter ended: 31.12.2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter gro	uin.		
Held by public	МР	0 0	
			0
10	otal	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter gro	up	0 0	0
Held by public		0 0	0
To	tal	0 0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter grou			
Held by public		0 0	0
То	tal		
		0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	2520000		100





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				ng Shareholding P e (I)(a)					
Categ ory code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	percentage of s	holding as a of total number hares	Shares Pledged or otherwise encumbered		
					As a percentage of(A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of shares	As a percentage	
(I)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	IX)= (VIII)/(IV)*10	
(A)	Shareholding of Promoter and								
1	Indian								
- 10					-				
(a)	Individuals/ Hindu Undivided Family	4	1154477	1154477	45.81	45.81	0	0.00	
(b)	Central Government/ State	And the			0.00	0.00	0	0.00	
ONESSE	Government(s)	0	0	0	0.00	0.00	0	0.00	
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00	
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00	
	Sub Total(A)(1)	4	1154477	1154477	45.81	45.81	0	0.00	
2	Foreign							11.11	
а	Individuals (Non-Residents Individuals/					0.00	0	0.00	
	Foreign Individuals)	0	0	0	0.00	0.00	0	0.00	
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00	
С	Institutions	0	0	0	0.00	0.00	0	0.00	
d	Qualified Foreign Investor	0	0	0	0.00	0.00	-	0.00	
е	Any Others(Specify)				-				
	O L T L KANON	0	0	0	0.00	0.00	0	0.00	
	Sub Total(A)(2)	- 0	+ -						
	Total Shareholding of Promoter	4	1154477	1154477	45.81	45.81	0	0.00	
(B)	Public shareholding								
1	Institutions	-		0	0.00	0.00	0	0.00	
(a)	Mutual Funds/ UTI	0	0				0	0.00	
(b)	Financial Institutions Banks	0	0	0	0.00	0.00	1	0.00	
(c)	Central Government/ State	4 3			0.00	0.00	0	0.00	
29/400	Government(s)	0	0	0	0.00	0.00	0	0.00	
(d)	Venture Capital Funds	0	0	0	0.00	0.00	0	0.00	
(e)	Insurance Companies	0	0	0	0.00	0.00	0	0.00	
(f)	Foreign Institutional Investors Foreign Venture Capital Investors		+		0.50				
(g)	Foreign venture Capital Investors	0	0	0	0.00	0.00	0	0.00	
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00	
(i)	Any Other (specify)	0	0	0	0.00	0.00	0	0.00	
(1)	Triy Other (specify)								
1	Sub-Total (B)(1)	0	0	0	0.00	0.00	0	0.00	

Services: Equity, Derivatives, Currency Futures, Internet Trading, Mobile Trading, Depository, Mutual Funds, IPOs, Loan Referal SEBI Reg. No.(s): NSE: INB/INF/INE 230714134, BSE: INB/INF 010714138, MCX-SX: INE 260714138, INB/INF 260714135

CDSL: IN-DP-CDSL-414-2007

B 2	Non-institutions							
(a)	Bodies Corporate	49	227802	226252	9.04	9.04	0	0.00
(b)	Individuals						0	0.00
1	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3454	705468	342365	27.99	27.99	0	0.00
11	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	13	424131	377281	16.83	16.83	0	0.00
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
(d)	Any Other (specify)							
(d-i)	NRIs	7	5952	5952	0.24	0.24	0	0.00
(d-ii)	Clearing Members	3	2170	2170	0.09	0.09	0	0.00
	Sub-Total (B)(2)	3526	1365523	954020	54.19	54.19	0	0.00
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	3526	1365523	954020	54.19	54.19	0	0.00
	TOTAL (A)+(B)	3530	2520000	2108497	100.00	100.00	0	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00	0	0.00
2	Public	0	0	0	0	0.00	0	0.00
	Sub-Total (C)	0	0	0	0	0	0	0.00
	GRAND TOTAL (A)+(B)+(C)	3530	2520000	2108497	100	100.00	0	0.00



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr.	Name of the shareholder	Details o	f Shares held		Engumbered						
		Number of			Encumbered share	es (*)	Details o	of warrants	conv	ails of vertible urities	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of
No.		shares held	As a % of grand total (A) +(B) +( C )	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held		diluted share capital		
(1)	(11)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	0.00					
1	Hari Narayan Rathi	785745	31.18	0	0.00	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
	Chetan Rathi	174267	6.92	0	0.00	0.00	0	0.00	0	0.00	31.18
	Chanda Devi Rathi	131203	5.21	0		0.00	0	0.00	0	0.00	6.92
4	Nisha Rathi	63262	2.51	0	0.00	0.00	0	0.00	0	0.00	5.21
			2.01	0	0.00	0.00	0	0.00	0	0.00	2.51
)TA	NL .	1154477	45.81	0	0.00	0.00	-				2.51
						0.00	0	0.00	0	0.00	45.81

<sup>(\*)</sup> The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

r. No. Name of the shareholder	Number of shares held Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and
1 VEENA CAMUNICA		Statement at para (I)(a) above}	Number of warrants held	As a % total number of warrants of the same class	Number of convertibl e securities held	% w.r.t total number of convertible securities of the same class	convertible securities) as a % of diluted share capital
1 VEENA G MUNDRA	100000	3.97	0	0.00	0	0.00	
2 SANDEEP STOCKS PRIVATE LIMITED	100000	3.97	0	0.00	0	0.00	3.97
3 NIRMAN SHARE BROKERS PVT. LTD.	56330	2.24	0	0.00		0.00	3.97
4 VALLAB DASS RAMANI	54639	2.17	0	0.00	0	0.00	2.24
5 PREETI BIYANI	50000	1.98	0		0	0.00	2.17
6 NEETA RATHI	46850	1.86		0.00	0	0.00	1.98
7 BASANTI DEVI RATHI	30000		0	0.00	0	0.00	1.86
	30000	1.19	0	0.00	0	0.00	1.19



# (I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.  Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them		of shares of shares of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in		of warrants		convertible urities	Total shares (including underlying shares assuming full conversion of	
1	NIL		Statement at para (I)(a) above}	Number of warrants	p	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	warrants and convertible securities) as a % of diluted share capital
-	NIL	0	0.00	0	0	0	0	_
TOTAL								
TOTAL		0	0.00	0	0	0	0	

## (I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
	NIL	0	0.00
TOTAL		0	
		U	0.00

### (II)(a) Statement showing details of Depository Receipts (DRs)

	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	0	0	0.00
TOTAL		0	0	0.00



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	
	NIL	0	0		
			-	0.00	
TOTAL		0			
		U	0	0.00	

