

Format of holding of specified securities

1. Name of Listed Entity: **B N Rathi Securities Limited**
2. Scrip Code/Name of Scrip/Class of Security: **523019 /Equity shares**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) :**31(1)**
 - a. If under 31(1)(b) then indicate the report for Quarter ending. **NA**
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment . **NA**

4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No



Table II – Statement showing shareholding pattern of the Promoter and Promoter Group (only one class of equity shares)

Category & Name of the shareholder (i)	PAN(ii)	Nos. of shareholder (iii)	No. of fully paid up equity shares held (iv)	No. of Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vi)+(x) As a % of (A+B+C2)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)	Number of equity shares held in dematerialized form (xiv)	
								No of voting rights	Total as % of (A+B+C)			No	As a % of total shares held			
1																
Indian		4	1154477			1154477	45.81	1154477	45.81	-	45.81	-	-	-	1154477	
Individuals/ HUF																
Name																
Chetan Rathi	ABAPR7440N	-	174267	-	-	174267	6.92	174267	6.92	-	6.92	-	-	-	174267	
Harj Narayan rathi	ABHPR9416P	-	785745	-	-	785745	31.18	785745	31.18	-	31.18	-	-	-	785745	
Chanda Devi Rathi	ABHPR9438H	-	131203	-	-	131203	5.21	131203	5.21	-	5.21	-	-	-	131203	
Nisha rathi	AFKPR7510P	-	63262	-	-	63262	2.51	63262	2.51	-	2.51	-	-	-	63262	
CG/SGS		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Financial Institutions/ Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Any other		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub- Total (A)(1)		4	1154477			1154477	45.81	1154477	45.81		45.81				1154477	
2																
Foreign		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Individuals (NRI/ Foreign Individuals)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-



Table I - Summary statement holding of specified securities (only one class of equity shares)

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of voting rights	Total as % of (A+B+C)					
(A)	Promoter & Promoter group	4	1154477	-	-	1154477	45.81	1154477	45.81	-	-	-	-	1154477
(B)	Public	3846	1365523	-	-	1365523	54.19	1365523	54.19	-	-	-	-	1365523
(C)	Non promoter non public													
(C1)	Shares underlying DRs	0	0	-	-	0	0	0	0	-	-	-	-	0
(C2)	Shares held by employee Trusts	0	0	-	-	0	0	0	0	-	-	-	-	0
	Total	3850	2520000	-	-	2520000	100	2520000	100	-	-	-	-	2520000



Table III – Statement showing shareholding pattern of the Public shareholder (only one class of equity shares)

Category & Name of the shareholder (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total shares (calculate as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)+(X) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No	As a % of total shares held			No	As a % of total shares held		
(1) Institutions	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Mutual Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Alternate Investment Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Foreign Portfolio Investor	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(f) Financial Institution/Banks	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(g) Insurance Companies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h) Provident Funds/Pension Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Any other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B) (1)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
2 CG/SGS/President of India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B) (2)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-



3	Non Institutions	3846	1365523	54.19	1365523	54.19	54.19	54.19	54.19	903682
(a)	Individuals-									
	i. Individual shareholders holding nominal share capital upto Rs. 2lakhs	3763	901592	35.78	901592	35.78	35.78	35.78	35.78	542790
	i. Individual shareholders holding nominal share capital in excess of Rs. 2lakhs	8	271219	10.76	271219	10.76	10.76	10.76	10.76	169730
	Names									
	1. Neeta Rathi		46850	1.86	46850	1.86	1.86	1.86	1.86	0
	2. Vallab Dass Ramani		54639	2.17	54639	2.17	2.17	2.17	2.17	0
	3. Basanti Devi Rathi		30000	1.19	30000	1.19	1.19	1.19	1.19	30000
	4. Veena Govindnarayan Mundra		30000	1.19	30000	1.19	1.19	1.19	1.19	30000
	5. Ch Ravi Kumar		31000	1.23	31000	1.23	1.23	1.23	1.23	31000
	6. Preeti Blyani		31552	1.25	31552	1.25	1.25	1.25	1.25	31552
(b)	NBFCs registered with RBI	1								1
(c)	Employee Trusts									
(d)	Overseas Depositories									
(e)	Any other specify									
	1. Non Resident Indians	7	3329	0.13	3329	0.13	0.13	0.13	0.13	3329
	2. Clearing Members	5	5200	0.21	5200	0.21	0.21	0.21	0.21	5200
	3. Bodies Corporates	62	184182	7.31	184182	7.31	7.31	7.31	7.31	182632
	Sub-Total(B)(3)	3846	1365523	54.19	1365523	54.19	54.19	54.19	54.19	903682



Total Public shareholding (B) = (B)(1)+(B)(2)+(B)(3)	3846	1365523	54.19	1365523	54.19	1365523	54.19	903662
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Table IV – Statement showing shareholding pattern of the Non Promoter – Non Public shareholder

Category & Name of the shareholder (I)	PAN (II)	Nos. of sharehold or (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Deposit or Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No	As a % of total shares held			No	As a % of total shares held		
(1) Custodian/ DR Holder	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(a) Name of DR Holder (if applicable)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(2) Employee Benefit Trust (under SEBI Share based employee benefit Regulations, 2014)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total Non Promoter- Non Public Shareholding (C) = (C)(1) + (C)(2)	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

